

04/19/01

3572 U.S. PTO

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

2343-114-27

First Inventor or Application Identifier

MARK E. ZAPPI

Title

ON-SITE BIOLOGICAL TREATMENT OF CONTAMINATED FLUIDS

3572 U.S. PTO

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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
☒ Applicant claims small entity status.
2. ☒ Specification Total Pages
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets
4. ☐ Oath or Declaration Total Pages
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with box 16 completed)
5. ☐ Incorporation By Reference (usable if box 4B is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ACCOMPANYING DOCUMENTS

6. ☐ Assignment Papers (cover sheet & document(s))
7. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
8. ☐ English Translation Document (if applicable)
9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☐ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
13. ☐ Request for Priority
14. ☒ List of Inventors' Names and Addresses
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

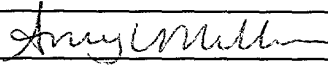
Group Art Unit:

17. Amend the specification by inserting before the first line the sentence:

☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. Filed on☐ This application claims priority of provisional application Serial No. Filed

18. CORRESPONDENCE ADDRESS

Supervisor, Patent Prosecution Services
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Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	04-19-01
Name	Amy L. Miller	Registration No.	43,804

04/19/01

FEE TRANSMITTAL

Docket No 2343-114-27
 Serial No. NEW PATENT APPLICATION
 Filing Date HEREWITH
 Inventor(s) MARK E. ZAPPI, ET AL.
 Group Art Unit UNASSIGNED
 Examiner UNASSIGNED

TOTAL AMOUNT OF PAYMENT \$420.00

1. ☒ Applicant claims small entity status.
☒ Charge any **UNDERPAYMENT** or credit any **OVERPAYMENT** in the indicated fees to Deposit Account No. 50-1442.
☐ Charge the indicated fees to Deposit Account No. 50-1442.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	
Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid
105	130	205	65	Surcharge-late filing fee or oath	65.00
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	

2. ☒ Check enclosed.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	
Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid
101	710	201	355	Utility filing fee	355.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					\$355.00

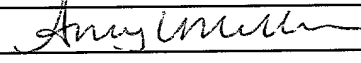
2. EXTRA CLAIM FEES

tot. claims	14	-	20*	=	0	x	\$9	=	0	121	270	221	135	Request for Oral Hearing	
ind. claims	1	-	3*	=	0	x	\$40	=	0	142	1240	242	620	Utility/Reissue Issue Fee	
<input type="checkbox"/> Multiple Dependent Claims \$135 =										143	440	243	220	Design Issue Fee	
Large Entity		Small Entity		Fee Description						144	600	244	300	Plant Issue Fee	
Fee Code	Fee (\$)	Fee Code	Fee (\$)							122	130	122	130	Petitions to the Commissioner	
103	180	203	9	Claims in excess of 20						126	180	126	180	IDS Submission	
102	80	202	40	Independent claims in excess of 3						581	40	581	40	Assignment	
104	270	204	135	Multiple dependent claim, if not paid						179	710	279	355	For Filing RCE	
109	80	209	40	*Reissue independent claims over original patent						169	900	169	900	Expedited Design	
110	18	210	9	*Reissue claims in excess of 20 and over original patent						OTHER (indicate below)					

SUBTOTAL (2) \$0.00

* or number previously paid, if greater, For Reissues, see above

SUBTOTAL (3) \$65.00

Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	04-19-07
Name	Amy L. Miller	Telephone	202-861-3900
		Registration No.	43,804

DOCKET NO. 2343-114-27

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: MARK E. ZAPPI, ET AL.

SERIAL NO.: NEW PATENT APPLICATION

FILING DATE: HERewith

FOR: ON-SITE BIOLOGICAL TREATMENT OF CONTAMINATED FLUIDS

LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Listed below are the names and addresses of the inventors for the above-identified patent application.

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A declaration containing all the necessary information will be submitted at a later date.

Respectfully submitted,

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